



08/28/01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 00-340  
First Inventor Yenny V.R. Gonzalez et al.  
Title POLYMER-ENHANCED FOAMABLE DRILLING FLUID  
Express Mail Label No. EL394336769US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 21]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. ☒ Oath or Declaration [Total Pages 7]  
a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)  
b. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable),  
Copies of IDS
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name GREGORY P. LAPOINTE  
BACHMAN & LaPOINTE, P.C.  
Address 900 Chapel Street, Suite 1201  
City New Haven State CT Zip Code 06510-2802  
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Name (Print/Type) GREGORY P. LAPOINTE Registration No. (Attorney/Agent) 28,395  
Signature [Signature] Date 8-28-01

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Washington, DC 20231.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 768

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Yenny V.R. Gonzalez et al.  
Examiner Name  
Group Art Unit  
Attorney Docket No. 00-340

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge  
X ☐ Under 37 CFR 1.16 and credit any overpayments to:

Deposit Account Number 02-0184  
Deposit Account Name BACHMAN & LAPOINTE, P.C.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
<input type="checkbox"/>	<input type="checkbox"/>	101	710	Utility filing fee	710
<input type="checkbox"/>	<input type="checkbox"/>	106	320	Design filing fee	
<input type="checkbox"/>	<input type="checkbox"/>	107	490	Plant filing fee	
<input type="checkbox"/>	<input type="checkbox"/>	108	710	Reissue filing fee	
<input type="checkbox"/>	<input type="checkbox"/>	114	150	Provisional filing fee	

SUBTOTAL (1) (\$ 710

### 2. EXTRA CLAIM FEES

Total Claims 21 -20\*\* = 1 x 18 = 18  
Independent Claims 2 -3\*\* = 0 x 0 = 0  
Multiple Dependent 0 = 0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
<input type="checkbox"/>	<input type="checkbox"/>	103	18	Claims in excess of 20
<input type="checkbox"/>	<input type="checkbox"/>	102	80	Independent claims in excess of 3
<input type="checkbox"/>	<input type="checkbox"/>	104	270	Multiple dependent claim, if not paid
<input type="checkbox"/>	<input type="checkbox"/>	109	80	** Release independent claims over original patent
<input type="checkbox"/>	<input type="checkbox"/>	110	18	** Release claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 18

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid		
<input type="checkbox"/>	<input type="checkbox"/>	105	130	205	65	Surcharge - late filing fee or oath	
<input type="checkbox"/>	<input type="checkbox"/>	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
<input type="checkbox"/>	<input type="checkbox"/>	139	130	139	130	Non-English specification	
<input type="checkbox"/>	<input type="checkbox"/>	147	2,520	147	2,520	For filing a request for ex parte reexamination	
<input type="checkbox"/>	<input type="checkbox"/>	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
<input type="checkbox"/>	<input type="checkbox"/>	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
<input type="checkbox"/>	<input type="checkbox"/>	115	110	215	55	Extension for reply within first month	
<input type="checkbox"/>	<input type="checkbox"/>	118	390	216	195	Extension for reply within second month	
<input type="checkbox"/>	<input type="checkbox"/>	117	890	217	445	Extension for reply within third month	
<input type="checkbox"/>	<input type="checkbox"/>	118	1,390	218	695	Extension for reply within fourth month	
<input type="checkbox"/>	<input type="checkbox"/>	128	1,890	228	945	Extension for reply within fifth month	
<input type="checkbox"/>	<input type="checkbox"/>	119	310	219	155	Notice of Appeal	
<input type="checkbox"/>	<input type="checkbox"/>	120	310	220	155	Filing a brief in support of an appeal	
<input type="checkbox"/>	<input type="checkbox"/>	121	270	221	135	Request for oral hearing	
<input type="checkbox"/>	<input type="checkbox"/>	138	1,510	138	1,510	Petition to institute a public use proceeding	
<input type="checkbox"/>	<input type="checkbox"/>	140	110	240	55	Petition to revive - unavoidable	
<input type="checkbox"/>	<input type="checkbox"/>	141	1,240	241	620	Petition to revive - unintentional	
<input type="checkbox"/>	<input type="checkbox"/>	142	1,240	242	620	Utility issue fee (or reissue)	
<input type="checkbox"/>	<input type="checkbox"/>	143	440	243	220	Design issue fee	
<input type="checkbox"/>	<input type="checkbox"/>	144	600	244	300	Plant issue fee	
<input type="checkbox"/>	<input type="checkbox"/>	122	130	122	130	Petitions to the Commissioner	
<input type="checkbox"/>	<input type="checkbox"/>	123	130	123	130	Petitions related to provisional applications	
<input type="checkbox"/>	<input type="checkbox"/>	126	180	126	180	Submission of Information Disclosure Stmt	
<input type="checkbox"/>	<input type="checkbox"/>	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
<input type="checkbox"/>	<input type="checkbox"/>	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
<input type="checkbox"/>	<input type="checkbox"/>	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
<input type="checkbox"/>	<input type="checkbox"/>	179	710	279	355	Request for Continued Examination (RCE)	
<input type="checkbox"/>	<input type="checkbox"/>	169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

## SUBMITTED BY

Name (Print/Type) GREGORY D. LAPOINTE  
Signature [Signature]  
Registration No. (Attorney/Agent) 28,395  
Telephone 203-777-6628  
Date 8-28-01

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231

on August 28, 2001

Janice T. Statton (Date of Deposit)

[Signature]  
Signature

8/28/01  
Date of Signature

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